

## CHESTIONAR QUESTIONNAIRE

Numar cont client Customer number	Client (nume/companie) Customer (name/firm)		
Numar contract Contract No.			
<b>Cont bancar Account</b>	Banca/ Bank		
	Cod SWIFT/ BIC code	IBAN/ Account N°	
	Moneda contului/ Currency of the account		
<b>Date de Contact Contacts</b>			
	Telefon mobil / Mobile phone	Telefon fix / Fixed phone	Fax / Fax
	E-mail / E-mail	Adresa de corespondenta / Correspondence address	
	Persoana de contact / Contact person		

INFORMATII CLIENT – PERSOANA FIZICA CUSTOMER IDENTIFICATION – NATURAL PERSONS			
Nume/Surname	Prenume/ First name		
CNP Personal ID N° (Foreigner's personal N°)	Numar si Serie Carte de Identitate Identity document number	Data emiterii Date of issue	Autoritatea emitenta Authority
Adresa / Address		Adresa de corespondenta / Correspondence address	
Telefon / Telephone	Fax / Fax	E-mail / E-mail	
Profesia / Profession	Ocupatia / Position occupied	Angajator / Employer	
Copie a actului de identitate, cu specificatia "Conform cu originalul", data, semnatura, se pastreaza in evidenta BULBROKERS. A copy of the customer's identity document, certified by "This is a true copy of the original", date, signature, shall be kept in the BULBROKERS records.			
Extras din Conditile Generale, art.10, par.5 si 6 / Excerpt from the General Conditions, art.10, par.5 and 6:			
<b>Cientul este de acord cu urmatoarele: / The Customer consents to the following:</b>			
1. BULBROKERS va pastra in scris cat si electronic datele personale ale clientului si le va utiliza conform specificatiilor contractului in scopul prestarii serviciilor de calitate – obiectul contractului, pregatirea si depozitarea documentelor, conturilor si alte obligatii ale societatii de servicii de investitii financiare sunt necesare pentru indeplinirea prevederilor contractului, prevazute in acesta sau in lege;			
1. BULBROKERS shall store in written and electronic form the Customer's personal data and shall process it for the purposes of the Contract and its performance upon provision of the services – subject of the Contract, preparation and storage of documentation, accounts and other obligations of the investment intermediary as are necessary in relation to the performance of the Contract and set forth in the Contract or in the law;			
2. BULBROKERS poate divulga datele personale ale clientilor doar autoritatilor sau persoanelor autorizate prin lege, incluzand Comisia Nationala a Valorilor Mobiliare, adjunctul presedintelui Comisiei Nationale a Valorilor Mobiliare, persoanelor autorizate ale Comisiei Nationale de Valori Mobiliare;			
2. BULBROKERS shall submit the customer's personal data only to the authorities and persons authorized by law, including the Financial Supervision Commission, the deputy-chairperson of the Financial Supervision Commission, authorized officers from the Financial Supervision Commission;			
3. Clientul va avea dreptul de a vizualiza si modifica datele personale preluate de BULBROKERS prin procedura stabilita de societatea de servicii de investitii financiare.			
3. The customer shall be have the right to access and adjust the customer's personal data collected by BULBROKERS under the procedures established by the investment intermediary.			
<b>Cientul este obligat sa notifice intermediarul de servicii de investitii financiare in legatura cu toate modificarile,</b> survenite in statutul legal al clientului sau al reprezentantului legal al acestuia, punand la dispozitie catre societatea de servicii de investitii financiare toate documentele ce au suferit modificari. Societatea de servicii de investitii financiare nu este responsabila pentru actele intreprinse inainte de a fi anuntata in legatura cu modificarile survenite ulterior, in vederea realizarii de operatiuni legale in conformitate cu actele disponibile in acel moment la societatea de servicii de investitii financiare.			
<b>The customer shall be bound to immediately notify the investment intermediary of all changes</b> related to the customer's legal status and to the persons having representative authority in respect of the customer, submitting to the investment intermediary all documents related to the change. The investment intermediary shall not be liable for acts undertaken prior to the notice under the previous sentence in pursuit of the orders validly submitted in accordance with the information then available to the investment intermediary.			

<b>INFORMATII CLIENT – PERSOANE JURIDICE CUSTOMER IDENTIFICATION – LEGAL ENTITIES</b>			
Companie / Firm and legal form		Numar Registrul Comertului / BULSTAT	
Sediul principal / Seat	Sediul social / Registered address	Sediul secundar / Principal place of business	
<p>CLIENTUL este o persoana care trebuie sa fie autorizata pentru a desfasura activitati pe pietele financiare, sau a carei activitate desfasurata pe aceste pietete este reglementata de catre prevederile legale ale unui stat membru, sau este o persoana autorizata pentru a desfasura aceste activitati sau reglementata de legislatia unui stat tert.</p> <p>THE CUSTOMER is a person required to have a permission issued for the performance of activity on the financial markets or whose activity on these markets is otherwise regulated by the national legislation of a member-state, or a person that received permission for performance of these activities or otherwise regulated by the legislation of a third state.</p>			Da Yes
			Nu No
<p>CLIENTUL este o entitate nationala sau regionala a statului, autoritatea statului, contribuind la bugetul de stat, banca centrala, institutii internationale sau multinationale.</p> <p>THE CUSTOMER is a national or regional state authority, state authority, participating in the governing of the state debt, central bank, international or supranational institution.</p>			Da Yes
			Nu No
<p>CLIENTUL este un alt investitor institutional, a carui activitate principala sunt investitiile in instrumente financiare.</p> <p>THE CUSTOMER is another institutional investor, whose principal activity is investing in financial instruments.</p>			Da Yes
			Nu No
<p>CLIENTUL este o companie mare ce indeplineste cel putin doua din conditiile urmatoare: The customer is a large enterprise meeting at least two of the specified conditions:</p>		<p>Contul de profit si pierdere minim 20 000 000 euro Profit and Loss balance at least 20 000 000 euro</p> <p>Cifra de afaceri neta minim 40 000 000 euro Net turnover at least 40 000 000 euro</p> <p>Capital social minim 2 000 000 euro Own capital at least 2 000 000 euro</p>	
<p>Copie a certificatului de functionare si alte documente asemanatoare se pastreaza in evidenta BULBROKERS. Copy of certificate of good standing or another analogous document shall be kept in the records of BULBROKERS</p>			
<b>INFORMATII IMPUTERNICIT/REPREZENTANT PROXY/REPRESENTATIVE IDENTIFICATION</b>			
Nume / Surname		Prenume / First name	
CNP Personal ID № (Foreigner's personal №)	Numar si Serie Carte de Identitate Identity document number	Data emiterii Date of issue	Autoritatea emitenta Authority
Adresa / Address		Adresa de corespondenta / Correspondence address	
Telefon / Telephone	Fax / Fax	E-mail / E-mail	
<p>Copie a actului de identitate a imputernicitului/reprezentantului, imputernicire notariala si alte acte care atesta imputernicirea legala se pastreaza in evidenta BULBROKERS. Copy of the identity document of the proxy/ representative, notarized power of attorney and other documents evidencing the representative authority shall remain stored in the records of BULBROKERS.</p>			

<b>PROFILUL DE INVESTITOR AL CLIENTULUI INVESTMENT OBJECTIVES OF THE CUSTOMER</b>	
Perioada de timp pe parcursul careia clientul doreste sa-si pastreze investitia: Period of time, in which the customer wants to hold the investment:	1-3 luni / 1-3 months
	3-6 luni / 3-6 months
	6- 12 luni / 6- 12 months
	1-3 ani / 1-3 years
Preferintele clientului in raport cu riscul asumat: Customer's preferences in respect of the assumed risk:	Scazut / Low
	Mediu / Medium
	Ridicat / High
Profilul de risc a clientului: Customer's risk profile:  Care din urmatoarele afirmatii coincid cu preferintele dumneavoastra? Which of the pairs of statements coincides most with your preferences?	Probabilitatea pierderilor pe termen scurt: <b>mare</b> Potentialul de crestere pe termen lung a investitiei: <b>depaseste semnificativ</b> inflatia Probability of short-term loss: <b>big</b> Potential for long-term growth of the investment: <b>significantly exceeding the inflation</b>
	Probabilitatea pierderilor pe termen scurt: <b>medie</b> Potentialul de crestere pe termen lung a investitiei: <b>depaseste moderat</b> inflatia Probability of short-term loss: <b>moderate</b> Potential for long-term growth of the investment: <b>moderately exceeding the inflation</b>
	Probabilitatea pierderilor pe termen scurt: <b>mica</b> Potentialul de crestere pe termen lung a investitiei: <b>depaseste cu putin</b> inflatia Probability of short-term loss: <b>slight</b> Potential for long-term growth of the investment: <b>slightly exceeding the inflation</b>
	Probabilitatea pierderilor pe termen scurt: <b>foarte mica</b> Potentialul de crestere pe termen lung a investitiei: <b>acelasi ritm ca al inflatiei</b> Probability of short-term loss: <b>very slight</b> Potential for long-term growth of the investment: <b>parallel to the inflation</b>
Obiective investitionale: Investment objectives:	Cresterea veniturilor curente Receipt of current income
	Finantarea unui anumit proiect Financing of a specific project
	Cresteri de capital Equity growth
	Realizarea de profituri speculative Achievement of speculative profits
	Asigurarea impotriva riscului valutar Currency risk insuring
	Protejarea investitiilor (asigurare) Investment hedging (insuring)
	Altele / Others
<b>SITUATIA FINANCIARA A CLIENTULUI / CUSTOMER FINANCIAL STATUS</b>	
Venituri permanente: Permanent income sources:	Venituri salariale / Employment remuneration
	Venituri din beneficii sociale (pensie, bursa de studii, etc.) / Social benefit payments (pensions, scholarships, etc.)
	Chirie si/sau venituri din inchiriere Rent and/or lease incomes
	Dividende / Dividends
	Altele / Others
Venituri anuale permanente: Annual amount of the permanent income:	Pana la 12 000 leva / Up to 12 000 leva
	Intre 12 000 si 50 000 leva / from 12 000 to 50 000 leva
	Peste 50 000 leva / over and above 50 000 leva
Valoarea neta a activelor curente Value of the customer liquid assets	
Valoarea investitiilor clientului Value of the customer's investments	
Valoarea proprietatilor imobiliare Value of real property	
Valoarea obligatiilor financiare lunare ale clientului Amount of regular financial obligations of the customer	

EXPERIENTA CLIENTULUI / THE CUSTOMER'S EXPERIENCE				
<b>Tipul serviciilor pe care clientul le foloseste:</b> <b>Types of services that the client already used:</b>		Cumpararea/vanzarea de active financiare la Bursa de Valori din Bulgaria Purchase/sale of financial instruments on the Bulgarian Stock Exchange		
		Cumpararea/vanzarea de active financiare pe bursele externe / Purchase/sale of financial instruments on foreign stock exchanges		
		Tranzactionare in marja pe pietele valutare Foreign exchange margin trade		
		Tranzactionare contracte pentru diferenta Trade with contracts for difference		
		Tranzactii REPO / REPO transactions		
		Fonduri mutuale / Trust portfolio management		
		Altele: / Others		
<b>Tranzactii cu care clientul este familiar:</b> <b>Transactions that the customer is acquainted with:</b>		Cumparare actiuni / Purchase		
		Produce tranzactionate in marja / Margin based purchase		
		Vanzare actiuni / Sale		
		Vanzare in lipsa / Short sale		
		Tranzactii repo / Repo transaction		
		Gaj pe instrumente financiare / Pledge over financial instruments		
		Instrumente financiare de schimb valutar / Exchange of financial instruments		
	Altele / Others			
<b>Active financiare cu care clientul este familiar:</b> <b>Financial instruments that the customer is acquainted with:</b>		<b>Active financiare pe care clientul doreste sa le tranzactioneze:</b> <b>Financial instruments, with which the customer wants to make transactions:</b>		
Valori mobiliare Securities		Actiuni / Shares	Valori mobiliare Securities	Actiuni / Shares
		Obligatiuni / Bonds		Obligatiuni / Bonds
		Titluri de stat / State securities		Titluri de stat / State securities
		Drepturi / Rights		Drepturi / Rights
		Altele / Others		Altele / Others
Instrumente pe piata monetara Money market instruments		Note de trezorerie / Treasury bills	Instrumente pe piata monetara	Note de trezorerie / Treasury bills
		Certificate de depozite / Depositary certificates		Certificate de depozite / Depositary certificates
		Obligatiuni comerciale / Commercial securities		Obligatiuni comerciale / Commercial securities
		Altele / Others		Altele / Others
Actiuni ale organismelor de plasament colectiv/ Shares of undertakings for collective investment		Actiuni ale organismelor de plasament colectiv/ Shares of undertakings for collective investment		
Produce Derivate Derivatives		Futures / Futures	Produce Derivate Derivatives	Futures / Futures
		Contracte forward / Forward contracts		Contracte forward / Forward contracts
		Optiuni / Options		Optiuni / Options
		Swap / Swap		Swap / Swap
	Contracte pentru diferente The contract for difference	Contracte pentru diferente The contract for difference		
Volumul tranzactiilor financiare al contului unui client / Volume of the financial instrument transactions on account of a customer				
Frecventa de tranzactionare a instrumentelor financiare al contului unui client Frequency of the financial instruments transactions on account of a customer				

<b>Tranzactii pe care clientul le va folosi:</b> <b>Transactions that the customer shall use:</b>		in baza unui contract pentru tranzactionarea activelor financiare la Bursa de Valori din Bulgaria under a contract for trade with securities on the Bulgarian Stock Exchange
		in baza unui contract pentru tranzactionarea activelor financiare pe bursele externe under a contract for trade with securities on foreign stock exchanges
		in baza unui contract pentru tranzactionarea in marja a activelor financiare, pe bursele externe si a contractelor pentru diferenta under a contract for foreign exchange margin trade and contracts for difference
		in baza unui contract cu fondurile mutuale under a portfolio management contract
		in baza unui contract cu tranzactii repo under repo-transaction contract
		in baza unui contract cu titluri de stat under state securities transaction contract
<b>Perioada pe care serviciile vor fi utilizate:</b> <b>Period throughout the services shall be used:</b>		0 data / Once
		1-3 luni / 1-3 months
		3-6 luni / 3-6 months
		6-12 luni / 6-12 months
		1- 3 ani / 1- 3 years

<b>NIVELUL DE STUDII AL CLIENTULUI / CUSTOMER'S EDUCATION</b>	
<b>Educatia:</b> <b>Education Degree:</b>	Primara / Primary
	Bacalaureat / Secondary
	Licentiat / Bachelor
	Masterat / Master
	Doctorat / Doctor
	Informatii suplimentare de specializare / Additional relevant specialization
Profesia Profession	
Experienta profesionala relevanta Relevant former profession	

Formularul se va completa, fie lasand spatiu liber, fie folosind ✓ pentru a selecta tipul investitorului, fie X pentru a completa cu "da" sau "nu" pentru fiecare afirmatie in functie de concludenta lor.

The form shall be filled in by noting by ✓ the determined customer's category, and X shall be used to cross „yes” or „no” against any of the statements dependant on their accuracy.

<b>In baza informatiilor completate in acest chestionar in conformitate cu Regulile de Clasificare a Clientilor BULBROKERS, clientul este clasificat ca fiind:</b> <b>Based on the information submitted in the questionnaire and in compliance with the Rules for Customer Categorization of BULBROKERS, the CUSTOMER is determined as:</b>		
<b>CONTRAPARTE ELIGIBILA / ELIGIBLE COUNTERPARTY</b>		
CLIENTUL este de acord cu clasificarea efectuata The CUSTOMER consents to the categorization thus performed	Da Yes	Nu No
CLIENTUL doreste sa fie clasificat ca si client profesional The CUSTOMER wants to be treated as a PROFESSIONAL CUSTOMER	Da Yes	Nu No
CLIENTUL doreste sa fie clasificat ca si client non-profesional The CUSTOMER wants to be treated as a NON-PROFESSIONAL CUSTOMER	Da Yes	Nu No
<b>CLIENT PROFESIONAL / PROFESSIONAL CUSTOMER</b>		
CLIENTUL este de acord cu clasificarea efectuata The CUSTOMER consents to the categorization thus performed	Da Yes	Nu No
CLIENTUL doreste sa fie clasificat ca si client non-profesional The CUSTOMER wants to be treated as a NON-PROFESSIONAL CUSTOMER	Da Yes	Nu No
<b>CLIENT NON-PROFESIONAL / NON-PROFESSIONAL CUSTOMER</b>		
CLIENTUL este de acord cu clasificarea efectuata The CUSTOMER consents to the categorization thus performed	Da Yes	Nu No
CLIENTUL doreste sa fie clasificat ca si client profesional The CUSTOMER wants to be treated as a PROFESSIONAL CUSTOMER	Da Yes	Nu No
<b>BULBROKERS notifica clientul, care isi exprima dorinta de a fi tratat ca si client profesional in ceea ce priveste re-clasificarea efectuata, acesta nu va mai beneficia de drepturile clientilor non-profesionali cat si de dreptul de a fi compensat de Fondul de Compensare a Investitorilor.</b> <b>BULBROKERS notifies the CUSTOMER, who expressed a desire to be treated as a professional one that in relation to the performed re-categorization, he/she/it shall not enjoy the higher degree of defence provided to non-professional customers, as well as the right to be compensated from the Investor Compensation Fund.</b>		
CLIENTUL declara ca a fost instiintat de consecintele clasificarii acestuia in calitate de client PROFESIONAL THE CUSTOMER DECLARES THAT THE CUSTOMER IS NOTIFIED OF THE CONSEQUENCES FROM THE CUSTOMER'S CATEGORIZATION AS A PROFESSIONAL CUSTOMER.		

Data/ Date : .....

Client/ The Customer:

BULBROKERS EAD / BULBROKERS EAD:

.....  
 (semnatura/ stampila)  
 (signature/ seal)

.....  
 (semnatura si stampila)  
 (signature/ seal)