

QUESTIONNAIRE

customer number	customer (name/firm)		
contract			
account			
	bank		
	BIC code	account N°	
contacts			
	mobile phone	fixed phone	fax
	e-mail	correspondence address	
	contact person		

CUSTOMER IDENTIFICATION – NATURAL PERSONS			
name	surname	family name	
personal ID N° (Foreigner's personal N°)	identity document number	date of issue	authority
permanent address		correspondence address	
tel.	fax	e-mail	
profession	position occupied	Employer	
A copy of the customer's identity document, certified by "This is a true copy of the original", date, signature, shall be kept in the BULBROKERS records			
Excerpt from the General Conditions, art.10, par.5 and 6: The Customer consents to the following: 1. BULBROKERS shall store in written and electronic form the Customer's personal data and shall process it for the purposes of the Contract and its performance upon provision of the services – subject of the Contract, preparation and storage of documentation, accounts and other obligations of the investment intermediary as are necessary in relation to the performance of the Contract and set forth in the Contract or in the law; 2. BULBROKERS shall submit the customer's personal data only to the authorities and persons authorized by law, including the Financial Supervision Commission, the deputy-chairperson of the Financial Supervision Commission, authorized officers from the Financial Supervision Commission; 3. the customer shall be have the right to access and adjust the customer's personal data collected by BULBROKERS under the procedures established by the investment intermediary. The customer shall be bound to immediately notify the investment intermediary of all changes related to the customer's legal status and to the persons having representative authority in respect of the customer, submitting to the investment intermediary all documents related to the change. The investment intermediary shall not be liable for acts undertaken prior to the notice under the previous sentence in pursuit of the orders validly submitted in accordance with the information then available to the investment intermediary.			

CUSTOMER IDENTIFICATION – LEGAL ENTITIES			
firm and legal form		BULSTAT	
seat	registered address	principal place of business	
THE CUSTOMER is a person required to have a permission issued for the performance of activity on the financial markets or whose activity on these markets is otherwise regulated by the national legislation of a member-state, or a person that received permission for performance of these activities or otherwise regulated by the legislation of a third state.			yes
			no
THE CUSTOMER is a national or regional state authority, state authority, participating in the governing of the state debt, central bank, international or supranational institution.			yes
			no
THE CUSTOMER is another institutional investor, whose principal activity is investing in financial instruments.			yes
			no
The customer is a large enterprise meeting at least two of the specified conditions:		Profit and Loss balance at least 20 000 000 euro	
		Net turnover at least 40 000 000 euro	
		Own capital at least 2 000 000 euro	
Copy of certificate of good standing or another analogous document shall be kept in the records of BULBROKERS			
PROXY/REPRESENTATIVE IDENTIFICATION			
name	surname	family name	
personal ID N ^o (Foreigner's personal N ^o)	identity document number	date of issue	authority
permanent address		correspondence address	
tel.	fax	e-mail	
Copy of the identity document of the proxy/ representative, notarized power of attorney and other documents evidencing the representative authority shall remain stored in the records of BULBROKERS.			

INVESTMENT OBJECTIVES OF THE CUSTOMER	
period of time, in which the customer wants to hold the investment:	1-3 months
	3-6 months
	6- 12 months
	1-3 years
customer's preferences in respect of the assumed risk:	low
	medium
	high
customer's risk profile: Which of the pairs of statements coincides most with your preferences?	Probability of short-term loss: big Potential for long-term growth of the investment: significantly exceeding the inflation
	Probability of short-term loss: moderate Potential for long-term growth of the investment: moderately exceeding the inflation
	Probability of short-term loss: slight Potential for long-term growth of the investment: slightly exceeding the inflation
	Probability of short-term loss: много малка Potential for long-term growth of the investment: parallel to the inflation
investment objectives:	receipt of current income
	financing of a specific project
	equity growth
	achievement of speculative profits
	currency risk insuring
	investment hedging (insuring)
	others
CUSTOMER FINANCIAL STATUS	
permanent income sources:	employment remuneration
	social benefit payments (pensions, scholarships, etc.)
	rent and/or lease incomes
	dividends
	others
annual amount of the permanent income:	up to 12 000 leva
	from 12 000 to 50 000 leva
	over and above 50 000 leva
value of the customer liquid assets	
value of the customer's investments	
value of real property	
amount of regular financial obligations of the customer	

THE CUSTOMER'S EXPERIENCE			
types of services that the client already used:		purchase/sale of financial instruments on the Bulgarian Stock Exchange	
		purchase/sale of financial instruments on foreign stock exchanges	
		foreign exchange margin trade	
		trade with contracts for difference	
		REPO transactions	
		trust portfolio management	
		others:	
transactions that the customer is acquainted with:		purchase	
		margin based purchase	
		sale	
		short sale	
		repo transaction	
		pledge over financial instruments	
		exchange of financial instruments	
		others	
financial instruments that the customer is acquainted with:		financial instruments, with which the customer wants to make transactions:	
securities		shares	securities
		bonds	bonds
		state securities	state securities
		rights	rights
		others	others
money market instruments		treasury bills	money market instruments
		depository certificates	depository certificates
		commercial securities	commercial securities
		others	others
shares of undertakings for collective investment		shares of undertakings for collective investment	
derivatives		futures	derivatives
		forward contracts	forward contracts
		options	options
		swap	swap
		the contract for difference	the contract for difference
volume of the financial instrument transactions on account of a customer			
frequency of the financial instruments transactions on account of a customer			
transactions that the customer shall use:		under a contract for trade with securities on the Bulgarian Stock Exchange	
		under a contract for trade with securities on foreign stock exchanges	
		under a contract for foreign exchange margin trade and contracts for difference	
		under a portfolio management contract	
		under repo-transaction contract	
		under state securities transaction contract	
		once	
period throughout the services shall be used:		1-3 months	
		3-6 months	
		6-12 months	
		1- 3 years	

CUSTOMER'S EDUCATION	
степен на образование:	primary
	secondary
	bachelor
	master
	doctor
	additional relevant specialization
Profession	
relevant former profession	

The form shall be filled in by noting by ✓ the determined customer's category, and X shall be used to cross „yes“ or „no“ against any of the statements dependant on their accuracy.

Based on the information submitted in the questionnaire and in compliance with the Rules for Customer Categorization of BULBROKERS, the CUSTOMER is determined as:		
ELIGIBLE COUNTERPARTY		
The CUSTOMER consents to the categorization thus performed	yes	no
The CUSTOMER wants to be treated as a PROFESSIONAL CUSTOMER	yes	no
The CUSTOMER wants to be treated as a NON-PROFESSIONAL CUSTOMER	yes	no
PROFESSIONAL CUSTOMER		
The CUSTOMER consents to the categorization thus performed	yes	no
The CUSTOMER wants to be treated as a NON-PROFESSIONAL CUSTOMER	yes	no
NON-PROFESSIONAL CUSTOMER		
The CUSTOMER consents to the categorization thus performed	yes	no
The CUSTOMER wants to be treated as a PROFESSIONAL CUSTOMER	yes	no
<p>BULBROKERS notifies the CUSTOMER, who expressed a desire to be treated as a professional one that in relation to the performed re-categorization, he/she/it shall not enjoy the higher degree of defence provided to non-professional customers, as well as the right to be compensated from the Investor Compensation Fund.</p>		
<p>THE CUSTOMER DECLARES THAT THE CUSTOMER IS NOTIFIED OF THE CONSEQUENCES FROM THE CUSTOMER'S CATEGORIZATION AS A PROFESSIONAL CUSTOMER.</p>		

Date :

The Customer :
(signature/ seal)

BULBROKERS PLC :
(signature and seal)